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NOTICE OF ALLOWANCE AND FEE(S) DUE

20350

750

09/29/2008

TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834

EXAMINER				
CHEA, PHILIP J				
ART UNIT	PAPER NUMBER			
2152				

DATE MAILED: 09/29/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/680.701	10/06/2003	Dajsuke Matsubara	16869P-091200US	6171

TITLE OF INVENTION: METHOD AND APPARATUS FOR ALERT DISTRIBUTION AND ARCHIVE SHARING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	12/29/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 20350 09/29/2008 Certificate of Mailing or Transmission TOWNSEND AND TOWNSEND AND CREW, LLP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834 (Depositor's name (Signature (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 10/680.701 10/06/2003 Daisuke Matsubara 16869P-091200US 6171 TITLE OF INVENTION: METHOD AND APPARATUS FOR ALERT DISTRIBUTION AND ARCHIVE SHARING APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1440 \$300 \$0 \$1740 12/29/2008 **EXAMINER** ART UNIT CLASS-SUBCLASS CHEA, PHILIP J 709-219000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this fo Advance Order - # of Copies _ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/680,701	10/06/2003	Daisuke Matsubara	16869P-091200US	6171
20350 75	590 09/29/2008		EXAMINER	
TOWNSEND AND TOWNSEND AND CREW, LLP			CHEA, PHILIP J	
TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834		ART UNIT	PAPER NUMBER	
		2153 DATE MAILED: 09/29/200	8	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 967 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 967 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)	_
	10/680,701	MATSUBARA ET AL.	
Notice of Allowability	Examiner	Art Unit	_
	 PHILIP J. CHEA	2153	
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIP of the Office or upon petition by the applicant. See 37 CFR 1.313 1. This communication is responsive to an RCE filed June 26	(OR REMAINS) CLOSED or other appropriate comm GHTS. This application is and MPEP 1308.	n this application. If not included unication will be mailed in due course. THIS	!
	<u>, 2000</u> .		
 2. The allowed claim(s) is/are 1,6,7 and 19-22. 3. Acknowledgment is made of a claim for foreign priority ur 	nder 35 U.S.C. § 119(a)-(d)	or (f).	
a) ☐ All b) ☐ Some* c) ☐ None of the:			
 Certified copies of the priority documents have 	e been received.		
2. Certified copies of the priority documents have	been received in Applicati	on No	
3. Copies of the certified copies of the priority do	cuments have been receive	ed in this national stage application from the	
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		e a reply complying with the requirements	
 A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give 			
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.		
(a) ☐ including changes required by the Notice of Draftspers	on's Patent Drawing Revie	w (PTO-948) attached	
1) ☐ hereto or 2) ☐ to Paper No./Mail Date			
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment o	or in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. ☐ Notice of I	nformal Patent Application	
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview S	Summary (PTO-413),	
3. Information Disclosure Statements (PTO/SB/08),		./Mail Date S Amendment/Comment	
Paper No./Mail Date 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. 🛭 Examiner's	s Statement of Reasons for Allowance	
	9.	<u> </u>	

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EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Andrew Lee on September 15, 2008.

The application has been amended as follows:

IN THE CLAIMS:

Please see attached.

2. The following is an examiner's statement of reasons for allowance: The prior art does not teach nor render obvious each and every limitation of the claimed invention. Specifically, the prior art does not disclose in a first server system, wherein a subscription is associated with an information source and with one or more peer client systems, thereby indicating that the one or more peer clients systems is to be notified of any changes to the information source, wherein the peer client systems are configured to communicate with the first server system to subscribe to one or more information sources, and where the first peer client system communicates an alert message to each second peer client system using peer to peer (P2P) communication, the alert message including information indicating that the first information source has been modified.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to PHILIP J. CHEA whose telephone number is (571)272-3951. The examiner can normally be reached on M-F 6:30-4:00 (1st Friday Off).

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn Burgess can be reached on 571-272-3949. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Glenton B. Burgess/ Supervisory Patent Examiner, Art Unit 2153 Philip J Chea Examiner Art Unit 2153

PJC 9/16/08

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Art Unit: 2153

1. (Currently Amended) A method for accessing information in a peer to peer network, the method comprising:

storing information among a plurality of peer client systems, wherein the information can be accessed by the peer client systems, the information collectively referred to as information sources;

storing, in a first server system, location information indicative of a location of each information source, wherein the peer client systems are configured to communicate with the first server system to access the location information;

generating, in the first server system, one or more subscriptions, <u>wherein</u> each subscription <u>being associated</u> is <u>associated</u> with an information source and with one or more peer client systems, <u>thereby indicating that the one or more peer clients systems is to be notified of any changes to the information source, and wherein the peer client systems are configured to communicate with the first server system to subscribe to one or more information sources;</u>

detecting, in the first server system, a modification to an information source, the step of detecting comprising receiving, from a first peer client system, an indication that a first information source has been modified, and if the first server system determines that there is a first subscription that is associated with the first information source, communicating to the first peer client system a list of second peer client systems that are associated with the first subscription,

wherein the first peer client system communicates an alert message to each second peer client system directly using peer to peer (P2P) communication, the alert message including information indicating that the first information source has been modified,

wherein each second peer client system can access an information source that is associated with the alert message, a copy of the information source being stored in another peer client system, and

wherein each second peer client system can access the copy from the other peer client system.

2 - 5. (Canceled)

- 6. (Previously Presented) The method of claim 1 wherein one of the second peer client systems automatically accesses the information source that is associated with the alert message.
- 7. (Previously Presented) The method of claim 1 wherein the first information source is modified by the first client system.

8 - 18. (Canceled)

Application/Control Number: 10/680,701

Art Unit: 2153

19. (Currently Amended) A system for sharing information in a peer to peer network comprising:

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a plurality of peer clients, each peer client in communication with other peer clients, wherein each peer client stores information, collectively referred to as information sources, wherein the information sources can be shared among the peer clients; and

a management server having information indicative of locations of the information sources, the management server configured to communicate with a peer client to provide location information to an information source so that the peer client can access the information source;

the management server further configured to receive requests from peer clients for subscriptions to one or more information sources, each such information source referred to as a subscribed information source, and to associate each subscribed information source with one or more peer clients, thereby indicating that the one or more peer clients is to be notified of any changes to the subscribed information source,

the management server further configured to receive information from a first peer client indicative modifications made to one or more of the information sources, each such information source referred to as a modified information source,

the management server further configured to identify one or more second peer clients based on a comparison of the modified information source against the subscribed information sources, and to communicate a list that identifies the second peer clients to the first peer client,

wherein the first peer client can communicate an alert message to each of the second peer clients directly using peer to peer (P2P) communication, the alert message including information indicating that the one or more information sources have been modified, and

wherein each second peer client, in response to receiving an alert message, can access a first information source associated with the alert message.

- 20. (Original) The system of claim 19 wherein one of the second peer clients automatically accesses at least a first information source associated with the alert message.
- 21. (Previously Presented) The system of claim 19 wherein one of the second peer clients generates a display to inform a user of receipt of the alert message, wherein the user can decide whether to access an information source associated with the alert message.
- 22. (Currently Amended) A method for accessing information in a peer to peer network, the method comprising:

storing information among a plurality of peer client systems, wherein the information can be accessed by the peer client systems, the information collectively referred to as information sources; Application/Control Number: 10/680,701 Page 6

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storing, in each peer client system, location information indicative of locations of one or more information sources, wherein the peer client systems can access the location information in order to determine the locations of the one or more information sources;

generating, in each peer client system, one or more subscriptions, each subscription being associated with an information source and with one or more peer client systems, thereby indicating that the one or more peer clients systems is to be notified of any changes to the information source, the step of generating comprising receiving a subscription request from a first peer client system and associating one or more information sources with the first peer client system, the one or more information sources identified in the subscription request; and

detecting, in each peer client system, a modification to an information source, the step of detecting comprising receiving an indication that a first information source has been modified and, if there is a subscription associated with the first information source, communicating an alert message to each peer client system that is associated with the first subscription directly from another peer client system using peer to peer (P2P) communication, the alert message including information indicating that the first information source has been modified,

wherein each peer client system that receives the alert message can access an information source that is associated with the alert message, a copy of the information source being stored in another peer client system, and

wherein each peer client system that receives an alert message can access the copy from the other peer client system.

23-25. (Canceled)